

UNITED STATES PATENT AND TRADEMARK OFFICE

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U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO 09/674,526 Hiroshi Susaki P20257

INTERNATIONAL APPLICATION NO.

PCT/JP99/02681

7055 GREENBLUM & BERNSTEIN, P.L.C. 1941 ROLAND CLARKE PLACE RESTON, VA 20191

I.A. FILING DATE PRIORITY DATE 05/21/1999 05/22/1998

CONFIRMATION NO. 3623

371 FORMALITIES LETTER

OC000000008317581

Date Mailed: 06/20/2002

NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fee
- Priority Document
- Biochemical Sequence Diskette
- Biochemical Sequence Listing
- Claims
- Copy of IPE Report
- · Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Drawings
- Initial Application Filing Fees
- Oath or Declaration
- Oath or Declaration
- Original Specification
- Preliminary Amendments
- Request for Immediate Examination

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for resp inselset in the N tification if Missing Requirements may be extended under 37 CFR 1.136(a).

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
 - A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
 - APPLICANT MUST PROVIDE:
 - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- For guestions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
 - For Rules Interpretation, call (703) 308-4216
 - To Purchase Patentin Software, call (703) 306-2600
 - For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov
 - A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

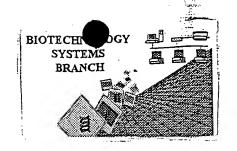
WINSTON M ALVARADO

Telephone: (703) 305-6421

PART 2 - OFFICE COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/674,526	PCT/JP99/02681	P20257

FORM PCT/DO/EO/916-(371-Formalities-Notice)-



RAW SEQUENCE LISTING ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number:	09/674.526
Source:	- Pur/09
Date Processed by STIC:	6/4/2002
	

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.
PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

 INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,

2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216. PATENTIN 2.1 e-mail help: patin21help@uspto.gov or phone 703-306-4119 (R. Wax) PATENTIN 3.0 e-mail help: patin3help@uspto.gov or phone 703-306-4119 (R. Wax)

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- 2. U.S. Postal Service: U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202
- Hand Carry directly to:
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Revised 01/29/2002

THE NOTIFICATION OF DEFECTIVE



PCT09

RAW SEQUENCE LISTING

DATE: 06/04/2002

PATENT APPLICATION: US/09/674,526

TIME: 14:55:07

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Does Not Comply Corrected Diskette Needec

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--> 2 <120> TITLE OF INVENTION: Drug Complex<130> P20257<140> US

--> 3 <140> CURRENT APPLICATION NUMBER: US/09/674,526

--> 0 <130> FILE REFERENCE:

--> 3 <141> CURRENT FILING DATE: 2002-01-24

--> 0 <160> NUMBER OF SEQ ID NOS:

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--> 5 Spacer Sequence

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Slep. 2 for Eplanation

INOUE, SEQUENCE LISTING<110> SUSAKI, Hiroshi KUGA, Hiroshi<120> Drug Complex<130> P20257<140> Kazuhiro 09/674,526<141> 2001-02-13<150> PCT/JP99/02681<151> 1998-05-22<160> 2 <170> PatentIn version 3.0<210> 1<211> 4<212> PRT<213> Artificial<220><223> Spacer Sequence <400> 1 Gly Gly Phe Gly 4<212> PRT<213> Artificial<220><223> Spacer Sequence <210> 2<211> <400> Gly Gly Gly Phe (copy of submitted file)
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